# Dual-Band Infrared Video-Based Measurement Using Pulse Wave Maps to Analyze Heart Rate Variability

Ryota Mitsuhashi

Graduate School of Science and Engineering, Chiba University, Chiba, Japan E-mail: r.mitsuhashi@chiba-u.jp

Keiichiro Kagawa and Shoji Kawahito

Research Institute of Electronics, Shizuoka University, Hamamatsu, Japan

### Chawan Koopipat

Department of Imaging and Printing Technology, Chulalongkorn University, Thailand

### Norimichi Tsumura

Graduate School of Science and Engineering, Chiba University, Chiba, Japan

Abstract. Remote photoplethysmography (rPPG) enables us to 1 capture the vital signs such as pulse rate, respiratory rate, oxygen 2 3 saturation, and even heart rate variability (HRV) without any contact devises. Although the papers of rPPG mainly focus on the use 4 of standard RGB camera, it cannot used for the cases in night or 5 under the dim light conditions. Therefore, in this paper, we propose 6 a novel noncontact method for monitoring HRV without visible lighting. The proposed method uses dual-band infrared videos to 8 9 ensure robustness to fluctuations in illumination. The hemoglobin 10 component is extracted via a simple projection from the dual-band pixel values in logarithmic space. We demonstrate the accurate 11 extraction of pulse wave signals using pulse wave maps. As the 12 results, we indicated the effectiveness of HRV monitoring in the 13 situation under the dim light condition. © 2018 Society for Imaging 14 Science and Technology. 15

16 [DOI: 10.2352/J.ImagingSci.Technol.2018.62.5.000000]

### **18** 1. INTRODUCTION

17

Remote photoplethysmography (rPPG) is a useful technique 19 for monitoring vital signs such as pulse rate, respiratory 20 rate, oxygen saturation, and even heart rate variability 21 (HRV) using a standard RGB camera. The pulse rate reflects 22 humans' physiological health, and plays an important role 23 in fitness and health care monitoring. For further analysis 24 of human physiological information, measurements of HRV 25 (also known as the index of cardiac autonomic activity [1]) 26 27 enable noncontact monitoring of the autonomic nervous system, which controls involuntary body functions. The low-28 frequency signals (LF) are widely known as one of the most 29 reliable indicators of sympathetic activity [2], whereas the 30 high-frequency (HF) signals are affected by breathing and 31 are related to parasympathetic activity [3]. HRV monitoring 32

Received Sept. 4, 2018; accepted for publication Sept. 8, 2018; published online xx xx, xxxx. Associate Editor: Mathieu Hebert. 1062-3701/2018/62(5)/000000/7/\$25.00

provides many benefits in situations such as monitoring33fatigue, concentration at work, and drowsiness when driving,34and can also help to prevent sudden infant death syndrome35(SIDS), heart attacks, or paroxysmal diseases in patients36located either at home or in hospital.37

### 2. RELATED WORKS

McDuff et al. [4] developed a method for detecting 39 HRV performance using a five-band sensor with red, 40 green, blue, cyan, and orange bands. They also developed 41 a statistical model called blind source separation using 42 independent component analysis (ICA), and demonstrated 43 the effectiveness of remote HRV measurements. Poh et al. [5] 44 found that HRV could be measured using a low-cost 45 commerce webcam. Their method employs ICA to detect 46 the HRV from variations in the spatially averaged pixel 47 values of the region of interest (ROI) from standard RGB 48 video recordings made under ambient light conditions. 49 Although their method can easily detect the vital signs, 50 thus allowing more practical uses than the approach of 51 McDuff et al. [4], they assume that the videos contain a 52 single periodic component. In other words, their method 53 is not applicable if the subjects are moving with a specific 54 frequency (e.g., when training in a gym). Alghoul et al. [6] 55 proposed a method for estimating HRV using a single-band 56 magnified video signal in the green channel based on an 57 application of Eulerian video magnification [7]. They first 58 proposed an HRV estimation technique using a single-band 59 video signal, but only verified the effectiveness of this method 60 in a laboratory setup. However, in real applications, the 61 illumination often exhibits time-varying fluctuations. Thus, 62 their estimations are inevitably affected by fluctuations in 63 illumination. Kurita et al. [8] and Fukunishi et al. [9] 64 proposed a remote HRV monitoring method for extracting 65 hemoglobin information based on a skin optics model. 66 Their approach considers the modified Lambert-Beer law 67

<sup>▲</sup> IS&T Members.

in standard RGB video recordings under ambient light
conditions. The key point of their method is the removal
of fluctuations in illumination (shading component) by
projecting into a constant vector in a logarithmic color vector
space.

As we described some applications of vital signs in the 73 74 introduction part, it can be used for various monitoring systems. Especially, based on the above papers, we focus on 75 the continuous monitoring of infants' vital signs to prevent 76 SIDS and the monitoring of long-distance drivers for signs 77 of fatigue, particularly at night. In both cases, physiological 78 information such as vital signs must be monitored without 79 visible lighting. 80

Inspired by several fundamental studies on pulse 81 rate monitoring without visible lighting using single-band 82 middle-wavelength video cameras [10], single-band near-83 infrared video cameras [11], and thermal video cameras [12], 84 Mitsuhashi et al. [13, 14] proposed a method for monitoring 85 the pulse rate using dual-band infrared video signals. They 86 decomposed these video signals into hemoglobin, which 87 is the component induced from blood flow changes, and 88 shading, which is the component induced from intensity 89 variations in illumination, based on an application presented 90 in [8, 9]. As a result, the pulse rate can be accurately estimated 91 even when the illumination is fluctuating. However, they 92 could not capture the HRV because the pulse wave signal 93 could not be extracted with sufficient accuracy. Regardless, 94 we consider their method to have significant potential of 95 further analysis for HRV monitoring if the extraction of 96 the pulse wave signal induced from the heartbeat can be 97 improved. 98

Kumar et al. [15] found that human faces can be distin-99 guished as subregions that strongly reflect the pulsatile blood 100 flow changes and other subregions that do not. They tracked 101 faces using a Kanade-Lucas-Tomasi (KLT) tracker and 102 divided the face region into several ROIs. In the frequency 103 domain, they then computed the pulsatile components of 104 the pulse wave signals arising from cardiac heartbeats in 105 each subregion and generated a goodness metric (pulse wave 106 map) that visualizes pulse wave component inside the face. 107 This led to a robust pulse rate monitoring system that uses 108 the single-band green channel in a low-cost standard RGB 109 camera and enabled the precise extraction of pulse wave 110 signals based on the spatial relative amplitude of the pulsatile 111 components. 112

Mitsuhashi et al. [13, 14] attempted to extract the 113 hemoglobin component from dual infrared bands via a 114 simple projection in the logarithmic color vector space. Their 115 ROI is manually selected to be part of the forehead, as many 116 researchers typically use this region as the part of the ROIs. 117 However, according to the work of [15], the strength of the 118 pulse wave signal depends on the subregion inside the face. 119 They did not consider this matter and the ROI will affect the 120 accuracy of pulse rate estimation. Moreover, they could not 121 capture the HRV parameters such as LF, HF, and LF/ HF ratio 122 due to the quality of extracted pulse wave. 123

Therefore, in this paper, we aimed to improve the work 124 presented by [13, 14] for extracting HRV parameters under 125 the dim light condition. In order to achieve the extraction 126 of HRV parameters, we consider the spatial information of 127 extracted hemoglobin component. We replace the manual 128 ROI selection with a process based on a weighted ratio 129 calculated from the pulsatile components induced from 130 heartbeat. By choosing ROIs based on this weighted ratio, we 131 can detect the HRV parameters even when the illumination 132 is fluctuating under dim light condition. The remainder 133 of this paper is organized as follows. In Section 2, we 134 briefly explain the conventional method for extracting the 135 hemoglobin component from facial images [13, 14] as they 136 presented and describe our proposed method for selecting 137 the ROI based on the weighted ratio. In Section 3, we 138 describe experiments to evaluate this approach, and present 139 HRV estimation results in comparison with the ground truth 140 measured by an electrocardiograph. The experimental results 141 are discussed in Section 4, and we conclude by summarizing 142 this study in Section 5. 143

### 3. PROPOSED METHOD FOR ESTIMATING HRV USING DUAL-BAND INFRARED VIDEO SIGNALS

USING DUAL-BAND INFRARED VIDEO SIGNALS 145 In this section, we briefly introduce the conventional 146 method [13, 14] for extracting hemoglobin factor based on 147 an application of [8, 9]. After the hemoglobin information 148 has been extracted, the pulse wave signal is generated by 149 integrating the pulse wave map and multiple inputs of rPPG 150 signals, a process inspired by [15]. 151

### 3.1 Extraction of Hemoglobin Information Using Dual-Band Infrared Video Recordings

In this section, we describe the procedure for obtaining 154 pulse wave signals from two-band infrared video signals. 155 This method uses a combination of bandpass filters with 156 central wavelengths of 780 nm and 900 nm. This is 157 because, in previous studies [13, 14], simulations based on 158 spectral characteristics indicate that these wavelengths are 159 effective filters for extracting the pulse rate. Therefore, these 160 optical bandpass filters were attached to the front of each 161 monochrome camera. Details about the experimental setup 162 and experimental results are described in Section 3. 163

Figure 1 shows the facial video recordings captured from 164 the two-band camera at the central wavelengths of 780 nm 165 and 900 nm. The corresponding two-band pixel values of 166 each wavelength were converted into points in the color 167 vector space, where each pixel value is converted into a 168 logarithmic value, as shown in Figure 2. The horizontal and 169 vertical axes in Fig. 2 indicate the logarithmic pixel values in 170 the two-band infrared videos. The studies of Kurita et al. [8] 171 and Fukunishi et al. [9] used a two-layered skin model 172 based on the modified Lambert-Beer law. Similarly, we 173 applied the modified Lambert-Beer law in a single-layered 174 model. The process of converting the two-band infrared 175 video signals into their hemoglobin and shading components 176 in each frame is as follows. Consider the two-dimensional 177 plane constructed from the pixel values of two-band images 178

144

152



Figure 1. Original dual-band infrared video recordings at different central wavelengths before the extraction of hemoglobin information.



**Figure 2.** Outline of the proposed method for separating the hemoglobin and shading components in logarithmic color vector space

corresponding to the same location (e.g., forehead), as shown 179 by the red point in Fig. 1(a), (b). An arbitrary vector A 180 is expressed as the linear combination of basis vectors, as 181 shown in the left-hand term of Eq. (1). Vector A can also 182 be expressed as a linear combination of new basis vectors in 183 the color space via a logarithmic transformation, as shown in 184 Fig. 2. Therefore, vector A can be expressed by two patterns 185 using the following equations. 186

$$\mathbf{A} = I_1 \, \mathbf{e}_x + I_2 \, \mathbf{e}_y = I_1' \, \mathbf{e}_h + I_2' \, \mathbf{e}_s,$$

where  $I_1$  and  $I_2$  are the logarithmic pixel values before 188 the video signals are converted into their hemoglobin 189 and shading components.  $I'_1$  and  $I'_2$  are the corresponding 190 components after the video signals have been converted into 191 their hemoglobin and shading components.  $\mathbf{e}_x$  and  $\mathbf{e}_y$  are the 192 basis vectors in the color vector space;  $\mathbf{e}_h$  and  $\mathbf{e}_s$  are the new 193 basis vectors for the hemoglobin and shading components. 194 Equation (1) can be represented as 195

196 
$$(\mathbf{e}_x \quad \mathbf{e}_y), \begin{pmatrix} I_1 \\ I_2 \end{pmatrix} = (\mathbf{e}_h \quad \mathbf{e}_s) \begin{pmatrix} I'_1 \\ I'_2 \end{pmatrix}.$$
 (2)

Applying the two-dimensional inverse matrix of  $(\mathbf{e}_h, \mathbf{e}_s)$  to Eq. (2) and noting that  $(\mathbf{e}_x, \mathbf{e}_y)$  is the identity matrix, we can



(a) Hemoglobin component

(b) Shading component

Figure 3. Skin components after the separation of hemoglobin and shading by using our proposed algorithm.

write as the following equation.

217

218

$$\begin{pmatrix} I_1' \\ I_2' \end{pmatrix} = \begin{pmatrix} \mathbf{e}_h & \mathbf{e}_s \end{pmatrix}^{-1} \begin{pmatrix} I_1 \\ I_2 \end{pmatrix}.$$
 (3) 200

Suppose that the basis vector  $\mathbf{e}_h$  is represented by the 201 transpose expression  ${}^t(\mathbf{h}_x, \mathbf{h}_y)$ . The basis vector  $\mathbf{e}_s$  is then 202 given by the transpose matrix  ${}^t(1/\sqrt{2} \quad 1/\sqrt{2})$ , because the 203 shading components are the same in any band. Thus, we have 204

$$\begin{pmatrix} I_1' \\ I_2' \end{pmatrix} = \begin{pmatrix} \mathbf{h}_x & 1/\sqrt{2} \\ \mathbf{h}_y & 1/\sqrt{2} \end{pmatrix}^{-1} \begin{pmatrix} I_1 \\ I_2 \end{pmatrix}.$$
 (4) 205

In determining the elements of the hemoglobin vector, 206 denoted by  $\mathbf{h}_x$  and  $\mathbf{h}_y$ , Eq. (4) can be represented as 207

$$\begin{pmatrix} I_1' \\ I_2' \end{pmatrix} = \begin{pmatrix} \cos(\theta) & 1 \\ \sin(\theta) & 1 \end{pmatrix}^{-1} \begin{pmatrix} I_1 \\ I_2 \end{pmatrix}$$
 (5) 208

where  $\theta$  indicates the angle of the hemoglobin vector. We 209 calculated the heart rate for each angle of the vector (91 steps 210 from 0 to  $90^{\circ}$ ) and then calculated the absolute error rate at 211 every angle. Finally, we determined the effective hemoglobin 212 vector as that which minimized the absolute error rate with 213 respect to the ground truth heart rate. Decomposed videos 214 of the separated hemoglobin and shading components are 215 shown in Figure 3. 216

# **3.2** Pulse Wave Acquisition by Integrating the Pulse Wave Map and Multiple Inputs of rPPG Signals

We evaluated the proposed method for extracting the 219 hemoglobin component using the dual-band infrared video 220 signals presented in [13, 14]. Using the resulting hemoglobin 221 images, we focused on the automatic extraction of the pulse 222 wave signal. With regard to the ROI, a face tracker is typically 223 used to detect the face and set the ROI to the forehead or 224 the cheek. However, the extracted pulse wave signal must 225 be very clean to accurately estimate HRV. According to 226 the maximum ratio combining (MRC) algorithm presented 227 in [15], a clean rPPG signal can be generated by integrating 228 the pulse wave map and multiple inputs of rPPG signals 229 obtained from the subregions of the face. Let us introduce 230 an example of how to generate clean pulse wave signals. 231 Consider a facial region trimmed to a pixel resolution of 232

187

(1)



Figure 4. Calculation of the pulsatile component in the frequency domain.



Figure 5. Pulse wave map from single-band infrared video and extracted hemoglobin components using the proposed method.

233  $400 \times 400$  squares, and divide the face into 1600 blocks of 234  $10 \times 10$  pixels. We first compute the pixel value variations 235  $y_i(t)$  by spatially averaging the pixel values within each 236 subregion, where *i* denotes the corresponding subregion. 237 All  $y_i(t)$  are temporally filtered using a [0.75 Hz, 3.0 Hz] 238 bandpass filter to remove noise from outside the band of 239 interest.

Consider the sequence  $y_1(t), y_2(t), \ldots, y_n(t)$  to be the different channels that receive signals and noise of different strengths. Finally, a clean pulse wave signal p(t) is obtained by combining all of these different channels using a weighted average as follows:

245 
$$p(t) = \sum_{i=1}^{n} G_i y_i(t)$$

where *n* denotes the number of subregions.  $G_i$  represents the weighted ratio, which is defined as the signal to noise ratio in the frequency domain. This is given by

249 
$$G_{i} = \frac{\int_{\max Freq+0.3}^{\max Freq+0.3} Y(f) df}{\int_{\max Freq-0.3}^{2*\max Freq+0.3} Y(f) df - \int_{\max Freq-0.3}^{\max Freq+0.3} Y(f) df}$$
(7)

where Y(f) denotes the power spectral density (PSD) of 250 y(i), as shown in Figure 4, and max *Freq* represents the peak 251 power spectrum density of yi(t) in the frequency domain. 252 The numerator represents the pulsatile factor induced from 253 heartbeats and the denominator represents the non-pulsatile 254 factor induced from the noise. We computed  $G_i$  for every 255 subregion and then obtained a pulse distribution map, which 256 reflects the proportion of the pulsatile component in each 257 subregion (see Figure 5(a), (b)). Fig. 5(a) shows the pulse 258



Figure 6. Pulse wave signal given by the proposed method considering the integration of the pulse wave map and multiple rPPG signals.

map obtained from single-band infrared video recordings 259 under stable light conditions. This map indicates that the 260 cheek and forehead subregions contain stronger pulsatile 261 components than the other facial subregions, as expected. 262 Fig. 5(b) shows the pulse map obtained from hemoglobin 263 images extracted from dual-band infrared video recordings. 264 As most subregions exhibit high values, this confirms the 265 effectiveness of our separation method. After we have 266 obtained the pulse map-based signal, a procedure based 267 on the smoothness prior and an adaptive bandpass filter is 268 performed. The window of the adaptive bandpass filter is set 269 to  $[\max Freq - 0.32 * \max Freq + 0.3]$ , because the detection 270 of HRV is assumed to require a precise passband considering 271 the harmonics. Finally, a clean pulse wave signal is obtained, 272 as shown in Figure 6. 273

To compare the estimated HRV values from the 274 extracted pulse wave signals with the ground truth obtained 275 from an electrocardiograph, the pulse wave signal is interpo-276 lated with a cubic spline function at a sampling frequency of 277 50 Hz, as is the ground truth. The R–R wave (RR) intervals are 278 obtained by detecting the peaks of the interpolated signal at 279 every 20 frames. They are calculated as the intervals between 280 neighboring peaks. The pulse rate is obtained by averaging 281 these RR intervals according to HRV analysis is performed 282 by PSD estimation using the Lomb periodogram. The LF and 283 HF powers are calculated by integrating the PSD curve over 284 the regions 0.04-0.15 Hz and 285

$$PR = \frac{60}{\text{RR intervals}} \tag{8} 286$$

0.15–0.4 Hz, respectively. We calculate the ratio of LF and HF 287 to verify the accuracy of our estimations. 288

### 4. EXPERIMENTAL SETUP AND RESULTS

In this section, we describe the experimental setup and 290 procedure for verifying the effectiveness of our proposed 291 method. The experimental results show that our proposed 292 method provides good HRV estimates without visible 293 lighting and when the illumination is fluctuating. 294

### 4.1 Experimental Setup

The experimental specification is shown in Table I. The 296 experiments were performed indoors in a dark room with 297 two artificial sunlight lamps acting as sources of illumination, 298

(6)

289



Figure 7. Two-band infrared video recording system

	F	
lable I.	Experimental	specification

Camera	RGB CCD DMK 23UV024
	(Imaging Source, Inc.)
Optical filter	FUJI FILTER OPTICAL IR78, IR90
	(FUJIFILM, Inc.)
Light source	Artificial Light, XC-100 (SERIC, Inc.)
Electrocardiograph	RMT1000, Nihon Kohden Inc.

as shown in Figure 7. In this study, the flickering of the 299 artificial sunlight played the role of fluctuations in the light 300 source. Participants were seated in front of a table and fixed in 301 position using a thin rest positioned in front of the two-band 302 camera at distances of approximately 0.5 m from the camera 303 and 0.3 m from each artificial sunlight source. The two-band 304 camera system includes a beam splitter and monochrome 305 cameras, with bandpass filters attached to the front of each 306 camera. 307

As described in previous studies [13, 14], filters with 308 central wavelengths of 780 nm and 900 nm were selected 309 as the most effective combination for capturing variations 310 in oxy-hemoglobin. Therefore, we limited the incident light 311 to the monochrome camera with a central wavelength of 312 780 nm and a full width at half maximum of  $\pm 10$  nm. 313 We limited the incident light to the other monochrome 314 camera with a central wavelength of 900 nm and a full 315 width at half maximum of  $\pm 10$  nm. We recorded videos 316 using the following patterns. The first video was recorded 317 without fluctuations in illumination using stable artificial 318 sunlight. The stable artificial sunlight condition can be 319 obtained by waiting for more than 30 min after turning on the 320 light. The second video was recorded under conditions with 321 322 fluctuations in illumination. These illumination fluctuations occur if the artificial sunlight source is turned up in less 323 a few minutes. We assumed that the former environment 324 had no illumination fluctuations and the latter suffered from 325 illumination fluctuations. All videos were recorded using an 326 8-bit monochrome camera at 30 fps with pixel resolution 327 of  $640 \times 480$  and were saved in BMP format on a PC. 328 We also recorded an electrocardiogram for each participant 329



# Forehead Right-cheek Left-cheek Middle brow Whole face

Figure 8. Several ROIs for validating the estimation accuracy of our proposed method.

 
 Table II. PR comparison with the ground truth under conditions with fluctuations in illumination. AER: absolute error rate; MAE: mean absolute error; RMSE: root mean squared error.

	AER [%]	MAE [bpm]	RMSE [bpm]
One band	2.74	2.51	2.56
780 [nm]			
One band	2.68	2.57	2.58
900 [nm]			
Proposed	0.35	0.33	0.43
Method			

using a polygraph system at a sampling rate of 1 kHz with 330 a cut-off frequency of 15 Hz. The ground truth heart rate 331 was calculated by averaging the RR intervals obtained from 332 the electrocardiogram and used to verify the accuracy of the 333 proposed method. 334

### 4.2 Experimental Results

Since we obtained hemoglobin images as shown in Fig. 3(a), 336 which means the spatial distribution of the hemoglobin 337 components, we evaluated the estimated pulse rate at each 338 parts of the face as following metrics: the absolute error 339 rate (AER), the mean absolute error (MAE), and the root 340 mean squared error (RMSE) which are given by following 341 equations. And we evaluated the spatial parts of the face, 342 which are from forehead, right cheek, left cheek, middle 343 brow, and whole face. The average values are listed as 344 following Tables II-V by averaging the estimated values at 345 each parts of face as shown in Figure 8. 346

$$AER = \frac{|GT - EV|}{GT} \times 100 \tag{9} \quad 34$$

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |GT - EV|$$
 (10) 344

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (GT - EV)^2}.$$
 (11) 349

Here, GT represents the ground truth obtained from 350 the electrocardiogram and EV represents the estimated 351

J. Imaging Sci. Technol.

 Table III.
 LF comparison with the ground truth under conditions with fluctuations in illumination.
 AER: absolute error rate; MAE: mean absolute error; RMSE: root mean squared error.

	<b>AER</b> [%]	MAE [n.u.]	RMSE [n.u.]
One band	27.18	6.25	6.40
780 [nm]			
One band	29.74	13.74	14.17
900 [nm]			
Proposed	10.78	2.48	2.79
Method			

 Table IV.
 IF comparison with the ground truth under conditions with fluctuations in illumination. AER: absolute error rate; MAE: mean absolute error; RMSE: root mean squared error.

	<b>AER</b> [%]	MAE [n.u.]	RMSE [n.u.]
One band	23.27	6.36	6.96
780 [nm]			
One band	28.60	10.46	10.80
900 [nm]			
Proposed	8.14	6.26	6.84
Method			

 
 Table V.
 LF/HF comparison with the ground truth under conditions with fluctuations in illumination. AER: absolute error rate; MAE: mean absolute error; RMSE: root mean squared error.

	<b>AER</b> [%]	MAE [n.u.]	RMSE [n.u.]
One band	27.44	0.052	0.052
780 [nm]			
One band	37.53	0.268	0.125
900 [nm]			
Proposed	11.70	0.035	0.050
Method			

value determined using the proposed method. The AER is
normalized with respect to the ground truth. This gives an
indication of how close the estimated value is to the ground
truth. We calculated pulse rate (PR), LF, HF, and LF/HF
for each single-band and dual-band infrared video. Table I
compares the estimated PR with the ground truth.

According to Table I, the AER of the pulse rate computed 358 from dual-band infrared video signals is lower than that 359 from single-band infrared videos as you can see this Table. 360 Tables II and III present comparisons of LF and HF with 361 the ground truth. These results confirm that our proposed 362 method performs well under fluctuations in illumination. 363 This is because the corresponding pixel values of the 364 dual-band videos are separated into the hemoglobin and 365 shading components by fixing the fluctuation of illumination 366 (shading) to (1, 1) in the color vector space. The results in 367 Table IV indicate that our proposed method offers superior 368

performance with comparison of conventional single-band 369 estimation. 370

371

### 5. DISCUSSION

The experimental results demonstrate that the proposed 372 method provides more accurate estimates of PR, LF, HF, 373 and LF/HF in an environment without visible lighting than 374 the conventional method based on noncontact pulse wave 375 monitoring using a single-band infrared video camera. In 376 particular, our method remains effective under fluctuations 377 in illumination, as it uses the effective combination of in-378 frared filters for monitoring HRV. As described in Section 1, 379 the single-band infrared video camera method calculates 380 the spatially averaged pixel values within the ROI selected 381 by manual [11]. However, our proposed method considers 382 the brief skin optics and weighted integration of spatial 383 distribution of hemoglobin components. According to the 384 results in Table II, we obtained superior AER, MAE, and 385 RMSE values in comparison with the conventional method. 386 Moreover, we generated the pulse wave signal by integrating 387 a weighted ratio and multiple inputs of rPPGs. Based on this, 388 the generated pulse wave signal depends on the pulse map. In 389 other words, if the pulse maps are well generated, the pulse 390 wave signal becomes cleaner and the PR, LF, HF, and LF/HF 391 estimations will be more accurate. According to Fig. 5(a), 392 the pulse maps are well generated because the subregions of 393 the forehead and cheeks exhibit relatively higher amplitudes 394 than the other subregions, as shown in Fig. 8(a). According 395 to Fig. 5(b), such amplitudes were observed in the same 396 subregions as Fig. 5(a). We consider the single-band video 397 recordings of 900 nm to have quite low signal to noise ratio 398 (SNR), as indicated in Fig. 1(b) and Fig. 8(b). The principle of 399 the pulse map suggests that the method will be weaker with 400 low SNRs. To address this issue, we attempted to generate 401 a high SNR pulse map by magnifying the video presented 402 in [7], but we did not obtain a sufficient pulse map because 403 the SNR in the original video recording is too low. The noise 404 was also magnified and appeared as artifacts. We will attempt 405 to rebuild the experimental setup in future work. 406

Second, it can be considered that the participants moved 407 slightly during the continuous 2 min recording. The accuracy 408 could be improved by implementing facial tracking or mask 409 processing of the face region during the video recording step. 410 We considered the possibility that tiny movements could 411 appear as artifacts and affect the estimation accuracy. The 412 results in Tables III-V confirm that the single-band infrared 413 video cannot be used to obtain the HRV with sufficient 414 accuracy under fluctuations in the illumination, because the 415 AER values of the pulse rates measured around 30% by using 416 only single-band infrared video. We considered that the 417 bandpass filter did not sufficiently remove the fluctuations 418 in illumination because of aperiodic noise. Hence, the 419 noise frequency was included within the frequency range 420 of the bandpass filter and the pulse rate was affected by 421 the inclusion of this noise. The proposed method obtained 422 AER values for PR, LF, and HF of around 10%. These 423 results demonstrate the robustness of the proposed method 424

against the illumination fluctuations. This is a result of 425 using dual-band infrared video signals, because the video 426 signals are decomposed into the hemoglobin and shading 427 components by the application of the basis translation matrix 428 in the color vector space. As we mentioned earlier, better 429 accuracy could be obtained by implementing facial tracking 430 or mask processing of the face region.

431

### 6. CONCLUSIONS AND FUTURE WORKS 432

We have proposed a noncontact HRV monitoring method 433 that is robust to illumination fluctuations. Separated 434 hemoglobin and shading components were obtained 435 by determining a new basis vector in the logarithmic 436 color vector space. As shown in Table IV, our proposed 437 method greatly improves the HRV estimation accuracy, 438 especially LF/HF/ ratio, with an AER of 11.70 % compared 439 with 27.44% and 37.53% using the conventional method 440 based on single-band infrared video signals. To verify the 441 effectiveness of our method, a large number of subjects 442 will be recruited for future studies. It will be necessary 443 to improve the accuracy of HRV estimation using the 444 proposed method by implementing facial tracking and 445 generating high-performance pulse wave maps. We obtained 446 the optimal hemoglobin vector in the case of a small 447 pixel distribution. Therefore, we need to decompose the 448 hemoglobin component using a large distribution of the 449 pixel values. By implementing these improvements, we 450 expect to obtain further robust HRV monitoring that can 451 compensate the motion of subjects. 452

#### 453 ACKNOWLEDGMENT

This work was supported in part by the MEXT/JST COI 454 STREAM program. 455

### REFERENCES 456

- M. Malik, J. Bigger, A. Camm, R. Kleiger, A. Malliani, A. Moss, and 457
- P. Schwartz, "Heart rate variability: standards of measurement, physio-458 logical interpletation, and clinical use," Eur. Heart J. 17, 354-381 (1996). 459

- <sup>2</sup> M. Pagani, R. Furlan, P. Pizzinelli, W. Crivellaro, S. Cerutti, and 460 A. Malliani, "Spectral analysis of R-R and arterial pressure variabilities 461 to assess sympatho-vagal interaction during mental stress in humans," 462 J. Hypertens 7 (1989) S14-5. 463
- <sup>3</sup> S. Akselrod, D. Gordon, F. A. Ubel, D. C. Shannon, A. Berger, and 464 R. J. Cohen, "Power spectrum analysis of heart rate fluctuation: a 465 quantative probe of beat-to-beat cardiovascular control," Science 213, 466 220-222 (1981). 467
- <sup>4</sup> D. McDuff, S Gontarek, and R. W. Picard, Improvements in Remote 468 Cardio-Pulmonary Measurement Using a Five Band Digital Camera (IEEE 469 Transactions on Biomedical Engineering, 2014), pp. 2593-2601. 470
- $^5\,$  M. Z. Poh, D. J. McDuff, and R. W. Picard, "Advancements in noncontact, 471 multiparameter physiological measurements using a webcam," IEEE 472 Trans. Biomed. Eng. 58, 7-11 (2011). 473
- <sup>6</sup> K. Alghoul, S. Alharthi, H. A. Osman, and A. E. Saddik, "Heart Rate Variability Extraction From Videos Signals: ICA vs. EVM Comparison," IEEE Access 5, 4711-4719 (2017).
- <sup>7</sup> H. Y. Wu, M. Rubinstein, E. Shih, J. Guttag, F. Durand, and W. Freeman, "Eulerian video magnification for revealing subtle changes in the world," ACM Trans. Graph. 31, 1-8 (2012).
- <sup>8</sup> K. Kurita, T. Yonezawa, M. Kuroshima, and N. Tsumura, "Non-contact video based estimation for heart rate variability spectrogram using 481 ambient light by extracting hemoglobin information," Color and Imaging Conference 2015, 207-211 (2015).
- <sup>9</sup> M. Fukunishi, K. Kurita, S. Kawahito, and N. Tsumura, "Non-contact video-based estimation of heart rate variability spectrogram from hemoglobin composition," J. Artif. Life Robot. (2017).
- <sup>10</sup> M. Garbey, N. Sun, A. Merla, and I. Pavlidis, "Contact-free measurement of cardiac pulse based on the analysis of thermal imagery," IEEE Trans. Biomed. Eng. 54, 1418-1426 (2007).
- <sup>11</sup> W. Zeng, Q. Zhang, Y. Zhou, G. Xu, and G. Liang, "Infrared video based non-invasive heart rate measurement," IEEE Conf. Robotics Biomimetics (December 6-9, 2015) 1041-104.
- <sup>12</sup> K. Hamedani, A. Veeraraghanvan, and A. Sabharwal, "Spatio-temporal filtering of thermal video sequences for heart rate," Expert Syst. Appl. 54, 88-94 (2016).
- <sup>13</sup> R. Mitsuhashi, G. Okada, K. Kurita, S. Kawahito, K. Kagawa, K. Chawan, and N. Tsumura, "Non-contact video-based method for monitoring pulse wave on face without visible lighting," Color and Imaging Conference (2017), Vol. 2017, pp. 207-211.
- <sup>14</sup> R. Mitsuhashi, G. Okada, K. Kurita, S. Kawahito, K. Kagawa, K. Chawan, 500 and N Tsumura, "Non-contact pulse wave detection by two-band infrared 501 video-based measurement on face without visible lighting," J. Artif. Life Robot. (2018).
- <sup>15</sup> M. Kumar, A. Veeraraghavan, and A. Sabharwal, "Distance PPG: Robust non-contact vital signs monitoring using a camera," Biomed. Opt. Express 6 (2015) 1565-88.
- 487 488 489 490 491 492 Q.1 493 494 495 496

497

498

499 Q.2

474

475

476

477

478

479

480

482

483

484

485

486

502 503 Q.3

## Queries for IS&T paper 0488

Journal:	JIST
Author:	Mitsuhashi et al.
Short title:	Dual-band infrared video-based
	measurement using pulse wave maps to analyze heart rate variability

## Page 7

*Query 1:* AU: Please check the page range of ref. [11].

### Page 7

Query 2:

Au: Please provide place and publisher for ref. [13].

### Page 7

Query 3:

Au: Please provide volume and page range for ref. [9] and [14].